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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	38-10(15820)B
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<i>First Inventor</i>	Georg Jander
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Title	Plants with Imidazolinone-Resistant ALS
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Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | | |
|--|---|--|
| 1. <input checked="" type="checkbox"/> | Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing) | |
| 2. <input type="checkbox"/> | Applicant claims small entity status.
See 37 CFR 1.27. | |
| 3. <input checked="" type="checkbox"/> | Specification [Total Pages 38]
(preferred arrangement set forth below) | |
| | - Descriptive title of the invention | |
| | - Cross References to Related Applications | |
| | - Statement Regarding Fed sponsored R & D | |
| | - Reference to sequence listing, a table,
or a computer program listing appendix | |
| | - Background of the Invention | |
| | - Brief Summary of the Invention | |
| | - Brief Description of the Drawings (if filed) | |
| | - Detailed Description | |
| | - Claim(s) | |
| | - Abstract of the Disclosure | |
| 4. <input checked="" type="checkbox"/> | Drawing(s) (35 U.S.C.113) [Total Sheets 1] | |
| 5. <input type="checkbox"/> | Oath or Declaration [Total Pages 2] | |
| | a. <input checked="" type="checkbox"/> Newly executed (original or copy) | |
| | b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed) | |
| | i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b). | |
| 6. <input type="checkbox"/> | Application Data Sheet. See 37 CFR 1.76 | |
| 7. <input type="checkbox"/> | CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix) | |
| 8. <input type="checkbox"/> | Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) | |
| | a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) | |
| | b. Specification Sequence Listing on: | |
| | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or | |
| | ii. <input checked="" type="checkbox"/> paper | |
| | c. <input checked="" type="checkbox"/> Statements verifying identity of above copies | |

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner _____

of prior application No: _____ / _____

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

27161

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Connie Caron/Andrew S. Brenc

Registration No. (Attorney/Agent)

48,131/45,534

Signature

Date _____

December 7, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

Compleat if Known

Application Number	
Filing Date	December -7, 2001
First Named Inventor	Georg Jander
Examiner Name	
Group Art Unit	
Attorney Docket No.	38-10(15820)B

TOTAL AMOUNT OF PAYMENT (\$ 954)**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

13 - 4125

Deposit
Account
Name

Monsanto Company

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:**

☐ Check ☐ Credit card ☐ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

Fee Paid

740

SUBTOTAL (1) (\$ 740)**2. EXTRA CLAIM FEES**

Total Claims	16	-20** =	0	x	18	=	0
Independent Claims	4	-3** =	1	x	84	=	84
Multiple Dependent						=	

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 ** Reissue independent claims
over original patent110 18 210 9 ** Reissue claims in excess of 20
and over original patent**SUBTOTAL (2)** (\$ 84)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid
105	130	205	65	130
127	50	227	25	
139	130	139	130	
147	2,520	147	2,520	
112	920*	112	920*	
113	1,840*	113	1,840*	
115	110	215	55	
116	400	216	200	
117	920	217	460	
118	1,440	218	720	
128	1,960	228	980	
119	320	219	160	
120	320	220	160	
121	280	221	140	
138	1,510	138	1,510	
140	110	240	55	
141	1,280	241	640	
142	1,280	242	640	
143	460	243	230	
144	620	244	310	
122	130	122	130	
123	50	123	50	
126	180	126	180	
581	40	581	40	
146	740	246	370	
149	740	249	370	
179	740	279	370	
169	900	169	900	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130)**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Connie M. Caron	Registration No. (Attorney/Agent)	48,131	Telephone	617-551-8241
Signature	Connie M. Caron	Date	12-5-2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

12/07/01

J1131 U.S. PTO

December 7, 2001

Box Provisional Patent Application

Commissioner for Patents
Washington, DC 20231

Re: U.S. Patent Application
Appln. No.: To be Assigned
Filed: Herewith
For: ***Plants with Imidazolinone-Resistant ALS***
Inventors: JANDER *et al.*
Atty Docket: 38-10(15820)B

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office (PTO):

1. Patent Patent Application Transmittal (PTO/SB/05);
2. Fee Transmittal Form (PTO/SB/17);
3. U.S. Patent Application entitled:

Plants with Imidazolinone-Resistant ALS

and naming as inventors:

Georg JANDER, Timothy P. DURRETT, and Scott R. BAERSON;

the application consisting of:

- a. a specification containing:
 - (i). 34 pages of a description prior to the claims;
 - (ii). 3 pages of claims (16 claims);
 - (iii). 1 page of drawings (Figures 1 and 2);
 - (iv). a one (1) page abstract;
 - (v). a paper copy of the sequence listing (22 pages);
4. a sequence listing in Computer Readable Form (CRF), on floppy diskette;
5. a Statement Regarding Sequence Submission;

ARNOLD & PORTER

Commissioner for Patents
Atty. Dkt.: 38-10(15820)B
December 7, 2001
Page 2

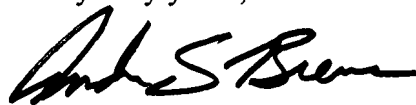
6. an original Combined Declaration and Power of Attorney (2 pages) executed by Georg Jander and Timothy P. Durrett;
7. an original Combined Declaration and Power of Attorney (2 pages) executed by Scott R. Baerson;
8. two (2) return postcards.

Submitted herewith is a sequence listing in Computer Readable Form (CFR), on floppy diskette.

The sequence listing in Computer Readable Form (CRF) contains one file called "38-10(15820)B CFR.txt" which is 32,780 bytes in size (measured in MS-DOS) and was created on December 5, 2001.

Please stamp one of two attached postcards with the filing date of these documents and return it to our courier, and stamp the other prepaid postcard with the filing date and unofficial application number and return it as soon as possible.

Very truly yours,



Connie Caron (Reg. No. 48,131)
Andrew S. Brenc (Reg. No. 45,534)

for
Connie
Caron

Enclosures